

Appendix 3

AUDIT	DATE OF LAST AUDIT	YEAR 1 2015/16	YEAR 2 2016/17	YEAR 3 2017/18	YEAR 4 2018/19	YEAR 5 2019/20	COVERAGE IN FIVE YEARS
BOX OFFICE (INCOME TARGET SETTING AND MONITORING)	Deferred 14/15	15					15
STAFF RECRUITMENT & TRAINING (BUD. CTRL/CAPTURE OF BENEFITSD/OUTCOMES/TEMP STAFF)	NEW			15			15
BUSINESS EVENTS (INCOME TARGET SETTING AND MONITORING)	2014.03				10		10
BARBICAN CENTRE - INTERNATIONAL ENTERPRISE	Deferred 14/15	20					20
CATERING (NEW ARRANGEMENTS)	NEW		15				15
BARS (NEW ARRANGEMENTS and pre-transfer)	NEW	7	15				22
SPONSORSHIPS/DONATIONS/GRANTS (TARGET MANAGEMENT/COLLECTION/REPORTING)	NEW			10			10
BUSINESS PLANNING (DEVELOPMENT AND MONITORING AGAINST PERFORMAMCE)	NEW			10			10
MEMBERSHIP SCHEME (TARGET SETTING/COLLECTION/REPORTING & MONITORING)	NEW	10				10	20
INCOME COLLECTION & BANKING	2012.08		15				15
PROCUREMENT (COMPLIANCE WITH STANDING ORDERS/CBIS PO&AP/PURCHASE CARDS)	NEW		10				10
BUDGET SETTING/FINANCIAL MANAGEMENT)	Deferred 14/15	15				15	30
IS AUDITS							
OFFICE 365	NEW			7			7
NEW CAR PARKING SYSTEM	NEW		10				10
CONTRACT AUDITS							
COST MONITORING	2013/14			15			15
COST ESTIMATES AND COST PLAN	2011/12	25					25
VARIATION ORDERS AND CHANGE CONTROL	2014/15					20	20
COMPLETION AND DEFECTS	NEW			15			15
CSA – CDM & HEALTH AND SAFETY	2012/13	15					15
CSA – EXTENSIONS OF TIME	2014/15					15	15
CSA – INTERIM VALUATIONS	2014/15					15	15
CSA – VALUE ENGINEERING	NEW				15		15

SPOTCHECKS							
DEBT MANAGEMENT (INCLUDING CBIS AR)	2014				5		5
EXPENSE CLAIMS (INCLUDING HOSPITALITY/STAFF EXPENSES)	2014		5		5		10
CASH & SECURITY(PETTY CASH/FLOATS/SAFES)				5		5	10
SYSTEM CONTROLS (ARITFAX/ENTA/VINS)		7					7
BARS					5		5
CATERING					5		5
		114	70	77	45	80	386